CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

10-29-03

Marc V. Richards, Reg. No. 37,921 Name of Applicant, Assignee or Registered Representative

Signature

10-29-03

Date of Signature

Our Case No.: 11867/13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Carlos N. Lopez

Group Art Unit No.: 1731

In re Application of:

Lloyd Harmon Hancock et al.

Serial No.: 09/892,834

Filing Date: June 27, 2001

For: Reduced Ignition Propensity

> Smoking Article and Wrapper and Method of and Apparatus for

> Producing the Article and Wrapper

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this First Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the

Examiner and made of record. Copies of the listed documents required by 37 C.F.R.

§ 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

U.S. Patents Documents

Document No.	Date	Inventor
1,999,223	04/30/1935	Weinberger
1,999,224	04/30/1935	Miles
2,049,320	07/28/1936	Ruben et al.
2,512,414	06/20/1950	Booth
4,169,278	09/25/1979	Roehlich et al.
4,340,074	07/20/1982	Tudor
4,459,998	07/17/1984	Labbe et al.
4,583,558	04/22/1986	Luke
4,619,278	10/28/1986	Smeed et al.
4,781,203	11/10/1988	La Hue
5,200,020	04/06/1993	Collins et al.
5,342,484	08/30/1994	Cutright et al.
5,534,114	07/09/1996	Cutright et al.
5,997,691	12/07/1999	Gautam et al.
6,543,457 B2	04/08/2003	Maiwald et al.
2002/0129824 A1	09/19/2002	Hammersmith et al.
2002/0139381 A1	10/03/2002	Peterson et al.
2002/0179105 A1	12/05/2002	Zawadzki et al.
2003/0037792 A1	02/27/2003	Snaidr et al.

Foreign Patents Documents

Document No.	Date	Country
WO 02/17737 A1 a	03/07/2002	WIPO
WO 02/19848 A1	03/14/2002	WIPO
EP 1 329 165 A1 »	07/23/2003	EPO

Other Art

Hauni Operating Manual, MAX2, No. 78, June 1997, pp. 13-49 through 13-81

In accordance with 37 C.F.R. § 1.97(g),(h), this First Supplemental Information

Disclosure Statement is not to be construed as a representation that a search has been

made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

Marc V. Richards

Registration No. 37,921

Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE P.O. Box 10395 Chicago, IL 60610 (312) 321-4200

	B			
1	FORM PTO-1449	SERIAL NO.	CASE NO.	
1		09/892,834	11867/13	
	LIST OF PATENTS AND PUBLICATIONS FOR	FILING DATE	GROUP ART UNIT	
1	APPLICANT'S INFORMATION DISCLOSURE	June 27, 2001	1731	
	STATEMENT			
Г	(use several sheets if necessary)	APPLICANT(S): Lloyd H. Hanco	ncock et al.	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	B1	1,999,223	04/30/1935	Weinberger		
	B2	1,999,224	04/30/1935	Miles		
***************************************	B3	2,049,320	07/28/1936	Ruben et al.		
	B4	2,512,414	06/20/1950	Booth		
	B5	4,169,278	09/25/1979	Roehlich et al.		
	B6	4,340,074	07/20/1982	Tudor		
	B7	4,459,998	07/17/1984	Labbe et al.		
	B8	4,583,558	04/22/1986	Luke		
	B9	4,619,278	10/28/1986	Smeed et al.		
	10	4,781,203	11/01/1988	La Hue		
	B11	5,200,020	04/06/1993	Collins et al.		
	B12	5,342,484	08/30/1994	Cutright et al.		
	B13	5,534,114	07/09/1996	Cutright et al.		
	B14	5,997,691	12/07/1999	Gautam et al.		
	B15	6,543,457 B2	04/08/2003	Maiwald et al.		
	B16	2002/0129824 A1	09/19/2002	Hammersmith et al.		
	B17	2002/0139381 A1	10/03/2002	Peterson et al.		
	B18	2002/0179105 A1	12/05/2002	Zawadzki et al.		· ·
	B19	2003/0037792 A1	02/27/2003	Snaidr et al.		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	B20	WO 02/17737 A1	03/07/2002	WIPO		Yes
	B21	WO 02/19848 A1	03/14/2002	WIPO		Yes
	B22	EP 1 329 165 A1	07/23/2003	EPO		

EXAMINER	OTHER ART – NON PATENT LITERATURE DOCUMENTS				
INITIAL	(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial,				
ļ	symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.				
	B23 Hauni Operating Manual, MAX2, No. 78, June 1997, pp. 13-49 through 13-81				

EXAMINER	DATE CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Rev. Dec.-99